



# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

CITY, DOCKET NO. TRADEMARK 05456.105044	SERIAL NO. 09/746,453	FILING DATE December 21, 2000
APPLICANT Rene Seebler		GROUP 2625-2627

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
9/20	AA	5,696,486	12/9/97	Poliquin et al.	340	—	
9/20	AB	6,353,385	3/5/02	Molini et al.	340	—	
9/20	AC	5,353,393	10/4/94	Bennett et al.	345	—	
9/20	AD	6,429,952	8/6/02	Olbricht	358	—	
9/20	AE	5,748,098	5/5/98	Grace	370	—	
9/20	AF	6,396,845	5/28/02	Sugita	370	—	
9/20	AG	6,711,127	3/23/04	Gorman et al.	370	—	
9/20	AH	5,734,697	3/31/98	Jabbarnezhad	379	—	
9/20	AI	6,104,783	8/15/00	DeFino	379	—	
9/20	AJ	6,226,372	5/1/01	Beebe et al.	379	—	
9/20	AK	6,445,822	9/3/02	Crill et al.	382	—	
9/20	AL	6,563,959	5/13/03	Troyanker	382	—	
9/20	AM	6,618,501	9/9/03	Osawa et al.	382	—	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	Gregory J. Davis	DATE CONSIDERED	10/25/05
----------	------------------	-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105044	SERIAL NO. 09/746,453	FILING DATE December 21, 2000
APPLICANT Rene Seeber		GROUP <del>2623</del> 2627

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
920	AN	6,628,824	9/30/03	Belanger	382	—	
920	AO	6,647,139	11/11/03	Kunii et al.	382	—	
920	AP	6,661,904	12/9/03	Sasich et al.	382	—	
920	AQ	6,668,082	12/23/03	Davison et al.	382	—	
920	AR	6,668,084	12/23/03	Minami	382	—	
920	AS	6,792,144	9/14/04	Yan et al.	382	—	
920	AT	5,345,595	9/6/94	Johnson et al.	455	—	
920	AU	6,278,886	8/21/01	Hwang	455	—	
920	AV	5,210,704	5/11/93	Husseiny	702	—	
920	AW	5,842,002	11/24/98	Schnurer et al.	703	—	
920	AX	5,854,916	12/29/98	Nachenberg	703	—	
920	AY	5,999,723	12/7/99	Nachenberg	703	—	
920	AZ	6,067,410	5/23/00	Nachenberg	703	—	
920	BA	5,864,803	1/26/99	Nussbaum	704	—	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>Gregory D. Seeb</i>	DATE CONSIDERED 10/24/05
------------------------------------	-----------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>gnd</i>	BB	5,014,645	1/11/00	Cunningham	705	—	
<i>gnd</i>	BC	6,584,454	6/24/03	Hummel, Jr. et al.	705	—	
<i>gnd</i>	BD	5,675,711	10/7/97	Kephart et al.	706	—	
<i>gnd</i>	BE	5,907,834	5/25/99	Kephart et al.	706	—	
<i>gnd</i>	BF	5,649,185	7/15/97	Antognini et al.	707	—	
<i>gnd</i>	BG	5,857,191	1/5/99	Blackwell, Jr. et al.	707	—	
<i>gnd</i>	BH	5,878,420	3/2/99	de la Salle	707	—	
<i>gnd</i>	BI	5,899,999	5/4/99	De Bonet	707	—	
<i>gnd</i>	BJ	6,144,961	11/7/00	de la Salle	707	—	
<i>gnd</i>	BK	6,161,109	12/12/00	Matamoros et al.	707	—	
<i>gnd</i>	BL	6,282,546	8/28/01	Gleichauf et al.	707	—	
<i>gnd</i>	BM	6,463,426	10/8/02	Lipson et al.	707	—	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Gregory D. Seeber</i>	DATE CONSIDERED <i>10/24/05</i>
-----------------------------------	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JD</i>	BN	6,647,400	11/11/03	Moran	707	—	
<i>JD</i>	BO	4,223,380	9/16/80	Antonaccio et al.	709	—	
<i>JD</i>	BP	5,309,562	5/3/94	Li	709	—	
<i>JD</i>	BQ	5,347,450	9/13/94	Nugent	709	—	
<i>JA</i>	BR	5,371,852	12/6/94	Attanasio et al.	709	—	
<i>JD</i>	BS	5,515,508	5/7/96	Pettus et al.	709	—	
<i>JD</i>	BT	5,539,659	7/23/96	McKee et al.	709	—	
<i>JD</i>	BU	5,630,061	5/13/97	Richter et al.	709	—	
<i>JD</i>	BV	5,706,210	1/6/98	Kumano et al.	709	—	
<i>JD</i>	BW	5,745,692	4/28/98	Lohmann, II et al.	709	—	
<i>JD</i>	BX	5,790,799	8/4/98	Mogul	709	—	
<i>JD</i>	BY	5,835,726	11/10/98	Shwed et al.	709	—	
<i>JD</i>	BZ	5,881,236	3/9/99	Dickey	709	—	
<i>JD</i>	CA	5,884,033	3/16/99	Duvall et al.	709	—	
<i>JD</i>	CB	5,922,051	7/13/99	Sidey	709	—	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Gregory Danner</i>	DATE CONSIDERED <i>10/25/05</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2023-2627</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
920	CC	5,964,839	10/12/99	Johnson et al.	709	—	
920	CD	5,974,237	10/26/99	Shurmer et al.	709	—	
920	CE	5,974,457	10/26/99	Waclawsky et al.	709	—	
920	CF	5,983,270	11/9/99	Abraham et al.	709	—	
920	CG	6,009,467	12/28/99	Ratcliff et al.	709	—	
920	CH	6,026,442	2/15/00	Lewis et al.	709	—	
920	CI	6,035,323	3/7/00	Narayan et al.	709	—	
920	CJ	6,041,347	3/21/00	Harsham et al.	709	—	
920	CK	6,052,709	4/18/00	Paul	709	—	
920	CL	6,070,190	5/30/00	Reps et al.	709	—	
920	CM	6,073,172	6/6/00	Frailong et al.	709	—	
920	CN	6,085,224	7/4/00	Wagner	709	—	
920	CO	6,119,165	9/12/00	Li et al.	709	—	
920	CP	6,195,687	2/27/01	Greaves et al.	709	—	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>Dagay Dagan</i>	DATE CONSIDERED <i>10/25/05</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625-2627</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JD</i>	CQ	6,377,994	4/23/02	Ault et al.	709	—	
<i>JD</i>	CR	6,397,245	5/28/02	Johnson, II et al.	709	—	
<i>JD</i>	CS	6,415,321	7/2/02	Gleichauf et al.	709	—	
<i>JD</i>	CT	6,434,615	8/13/02	Dinh et al.	709	—	
<i>JD</i>	CU	6,438,600	8/20/02	Greenfield et al.	709	—	
<i>JD</i>	CV	6,453,345	9/17/02	Trcka et al.	709	—	
<i>JD</i>	CW	6,453,346	9/17/02	Garg et al.	709	—	
<i>JD</i>	CX	6,484,203	11/19/02	Porras et al.	709	—	
<i>JD</i>	CY	6,496,858	12/17/02	Frailong et al.	709	—	
<i>JD</i>	CZ	6,519,647	2/11/03	Howard et al.	709	—	
<i>JD</i>	DA	6,708,212	3/16/04	Porras et al.	709	—	
<i>JD</i>	DB	6,711,615	3/23/04	Porras et al.	709	—	
<i>JD</i>	DC	6,718,383	4/6/04	Hebert	709	—	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Gregory J. Seene</i>	DATE CONSIDERED <i>10/25/05</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
9D	DD	2002/0032793	3/14/02	Malan et al.	709	—	
9D	DE	2003/0037136	2/20/03	Labovitz et al.	709	—	
9D	DF	4,400,769	8/23/83	Kaneda et al.	710	—	
9D	DG	5,511,184	4/23/96	Lin	710	—	
9D	DH	5,991,856	11/23/99	Spilo et al.	711	—	
9D	DI	6,324,627	11/27/01	Kricheff et al.	711	—	
9D	DI	4,975,950	12/4/90	Lentz	713	—	
9D	DK	5,032,979	7/16/91	Hecht et al.	713	—	
9D	DL	5,121,345	6/9/92	Lentz	713	—	
9D	DM	5,204,966	4/20/93	Wittenberg et al.	713	—	
9D	DN	5,274,824	12/28/93	Howarth	713	—	
9D	DO	5,278,901	1/11/94	Shieh et al.	713	—	
9D	DP	5,311,593	5/10/94	Carmi	713	—	
9D	DQ	5,359,659	10/25/94	Rosenthal	713	—	
9D	DR	5,414,833	5/9/95	Hershey et al.	713	—	
9D	DS	5,475,839	12/12/95	Watson et al.	713	—	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Rene Seeber</i>	DATE CONSIDERED <i>10/25/05</i>
-----------------------------	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625-2627</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
9D	DT	5,557,742	9/17/96	Smaha et al.	713	—	
9D	DU	5,586,260	12/17/96	Hu	713	—	
9D	DV	5,606,668	2/25/97	Shwed	713	—	
9D	DW	5,623,600	4/22/97	Ji et al.	713	—	
9D	DX	5,623,601	4/22/97	Vu	713	—	
9D	DY	5,696,822	12/9/97	Nachenberg	713	—	
9D	DZ	5,761,504	6/2/98	Corrigan et al.	713	—	
9D	EA	5,764,887	6/9/98	Kells et al.	713	—	
9D	EB	5,764,890	6/9/98	Glasser et al.	713	—	
9D	EC	5,787,177	7/28/98	Leppek	713	—	
9D	ED	5,796,942	8/18/98	Esbensen	713	—	
9D	EE	5,798,706	8/25/98	Kraemer et al.	713	—	
9D	EF	5,812,763	9/22/98	Teng	713	—	
9D	EG	5,815,574	9/29/98	Fortinsky	713	—	
9D	EH	5,822,517	10/13/98	Dotan	713	—	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Gregory J. Dano</i>	DATE CONSIDERED <i>10/25/05</i>
---------------------------------	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
gd	EI	5,826,013	10/20/98	Nachenberg	713	—	
gd	EJ	5,828,833	10/27/98	Belville et al.	713	—	
gd	EK	5,832,208	11/3/98	Chen et al.	713	—	
gd	EL	5,832,211	11/3/98	Blakley, III et al.	713	—	
gd	EM	5,838,903	11/17/98	Blakely, III et al.	713	—	
gd	EN	5,845,067	12/1/98	Porter et al.	713	—	
gd	EO	5,848,233	12/8/98	Radia et al.	713	—	
gd	EP	5,864,565	1/26/99	Tran	713	—	
gd	EQ	5,875,296	2/23/99	Shi et al.	713	—	
gd	ER	5,892,903	4/6/99	Klaus	713	—	
gd	ES	5,919,257	7/6/99	Trostle	713	—	
gd	ET	5,919,258	7/6/99	Kayashima et al.	713	—	
gd	EU	5,925,126	7/20/99	Hsieh	713	—	
gd	EV	5,931,946	8/3/99	Terada et al.	713	—	
gd	EW	5,940,591	8/17/99	Boyle et al.	713	—	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Gregory Brown</i>	DATE CONSIDERED <i>10/25/05</i>
-------------------------------	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105044	09/746,453	December 21, 2000

APPLICANT	GROUP
Rene Seeber	<del>2625</del> 2627

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
920	EX	5,961,644	10/5/99	Kurtzberg et al.	713	—	
920	EY	5,978,917	11/2/99	Chi	713	—	
920	EZ	5,983,348	11/9/99	Ji	713	—	
920	FA	5,983,350	11/9/99	Mincar et al.	713	—	
920	FB	5,987,606	11/16/99	Cirasole et al.	713	—	
920	FC	5,987,610	11/16/99	Franczek et al.	713	—	
920	FD	5,987,511	11/16/99	Freund	713	—	
920	FE	5,991,381	11/23/99	Conklin et al.	713	—	
920	FF	5,999,711	12/7/99	Misra et al.	713	—	
920	FG	6,003,132	12/14/99	Mann	713	—	
920	FH	6,061,795	5/9/00	Dircks et al.	713	—	
920	FI	6,070,244	5/30/00	Orchier et al.	713	—	
920	FJ	6,081,894	6/27/00	Mann	713	—	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED
Gregory J. Davis	10/25/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
920	FK	6,088,803	7/11/00	Tso et al.	713	—	
920	FL	6,088,804	7/11/00	Hill et al.	713	—	
920	FM	6,092,194	7/18/00	Touboul	713	—	
920	FN	6,098,173	8/1/00	Elgressy et al.	713	—	
920	FO	6,119,234	9/12/00	Aziz et al.	713	—	
920	FP	6,122,738	9/19/00	Millard	713	—	
920	FQ	6,154,844	11/28/00	Touboul et al.	713	—	
920	FR	6,167,520	12/26/00	Touboul	713	—	
920	FS	6,185,689	2/6/01	Todd, Sr. et al.	713	—	
920	FT	6,205,552	3/20/01	Fudge	713	—	
920	FU	6,266,773	7/24/01	Kisor et al.	713	—	
920	FV	6,266,774	7/24/01	Sampath et al.	713	—	
920	FW	6,272,641	8/7/01	Ji	713	—	
920	FX	6,275,938	8/14/01	Bond et al.	713	—	
920	FY	6,275,942	8/14/01	Bernhard et al.	713	—	
920	FZ	6,279,113	8/21/01	Vaidya	713	—	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Gregory Dine</i>	DATE CONSIDERED <i>10/25/05</i>
------------------------------	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105044	09/746,453	December 21, 2000
APPLICANT		GROUP
Rene Seeber		2625 2627

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
920	GA	6,298,445	10/2/01	Shostack et al.	713	—	
920	GB	6,301,668	10/9/01	Gleichauf et al.	713	—	
920	GC	6,314,520	11/6/01	Schell et al.	713	—	
920	GD	6,321,338	11/20/01	Porras et al.	713	—	
920	GE	6,324,647	11/27/01	Bowman-Amuah	713	—	
920	GF	6,338,141	1/8/02	Wells	713	—	
920	GG	6,347,374	2/12/02	Drake et al.	713	—	
920	GH	6,357,008	3/12/02	Nachenberg	713	—	
920	GI	6,405,318	6/11/02	Rowland	713	—	
920	GJ	6,408,391	6/18/02	Huff et al.	713	—	
920	GK	6,460,141	10/1/02	Olden	713	—	
920	GL	6,470,449	10/22/02	Blandford	713	—	
920	GM	6,477,648	11/5/02	Schell et al.	713	—	
920	GN	6,477,651	11/5/02	Teal	713	—	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO
--	-----------------	------	---------	------	-----------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625-2627</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
920	GO	6,487,666	11/26/02	Shanklin et al.	713	—	
920	GP	6,499,107	12/24/02	Gleichauf et al.	713	—	
920	GQ	6,510,523	1/21/03	Perlman et al.	713	—	
920	GR	6,519,703	2/11/03	Joyce	713	—	
920	GS	6,530,024	3/4/03	Proctor	713	—	
920	GT	6,546,493	4/8/03	Magdych et al.	713	—	
920	GU	6,574,737	6/3/03	Kingsford et al.	713	—	
920	GV	6,578,147	6/10/03	Shanklin et al.	713	—	
920	GW	6,681,331	1/20/04	Munson et al.	713	—	
920	GX	6,691,232	2/10/04	Wood et al.	713	—	
920	GY	6,704,874	3/9/04	Porras et al.	713	—	
920	GZ	6,725,377	4/20/04	Kouznetsov	713	—	
920	HA	6,725,378	4/20/04	Schuba et al.	713	—	
920	HB	6,775,780	8/10/04	Muttik	713	—	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Gregory A. Deane</i>	DATE CONSIDERED <i>10/25/05</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105044	SERIAL NO. 09/746,453	FILING DATE December 21, 2000
APPLICANT Rene Seeber		GROUP <del>2625</del> 2627

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
gnd	HC	6,792,546	9/14/04	Shanklin et al.	713	—	
gnd	HD	6,816,973	11/9/04	Gleichauf et al.	713	—	
gnd	HE	6,839,850	1/4/05	Campbell et al.	713	—	
gnd	HF	2001/0034847	10/25/01	Gaul, Jr.	713	—	
gnd	HG	2002/0035698	3/21/02	Malan et al.	713	—	
gnd	HH	2002/0083331	6/27/02	Krumel	713	—	
gnd	HI	2002/0083334	6/27/02	Rogers et al.	713	—	
gnd	HJ	2002/0138753	9/26/02	Munson	713	—	
gnd	HK	2002/0144156	10/3/02	Copeland, III	713	—	
gnd	HL	2003/0088791	5/8/03	Porras et al.	713	—	
gnd	HM	2003/0212903	11/13/03	Porras et al.	713	—	
gnd	HN	2004/0010718	1/15/04	Porras et al.	713	—	
gnd	HO	4,672,609	6/9/87	Humphrey et al.	714	—	
gnd	HP	4,773,028	9/20/88	Tallman	714	—	
gnd	HQ	5,398,196	3/14/95	Chambers	714	—	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	Gregory Rose	DATE CONSIDERED	10/25/05
----------	--------------	-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT***(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2625 2627			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
920	HR	5,440,723	8/8/95	Arnold et al.	714	—	
920	HS	5,452,442	9/19/95	Kephart	714	—	
920	HT	5,649,095	7/15/97	Cozza	714	—	
920	HU	5,765,030	6/9/98	Nachenberg et al.	714	—	
920	HV	5,964,889	10/12/99	Nachenberg	714	—	
920	HW	6,006,016	12/21/99	Faigon et al.	714	—	
920	HX	6,016,553	1/18/00	Schneider et al.	714	—	
920	HY	6,021,510	2/1/00	Nachenberg	714	—	
920	HZ	6,029,256	2/22/00	Kouznetsov	714	—	
920	IA	6,035,423	3/7/00	Hodges et al.	714	—	
920	IB	6,094,731	7/25/00	Waldin et al.	714	—	
920	IC	6,108,799	8/22/00	Boulay et al.	714	—	
920	ID	6,173,413	1/9/01	Slaughter et al.	714	—	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER <i>Gregory D. Lane</i>				DATE CONSIDERED <i>10/25/05</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105044	09/746,453	December 21, 2000
APPLICANT		GROUP
Rene Seeber		2625 2627

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
9D	IE	6,199,181	3/6/01	Rechef et al.	714	—	
9D	IF	6,230,288	5/8/01	Kuo et al.	714	—	
9D	IG	6,314,525	11/6/01	Mahalingham et al.	714	—	
9D	IH	6,324,656	11/27/01	Gleichauf et al.	714	—	
9D	II	6,601,190	7/29/03	Meyer et al.	714	—	
9D	IJ	2002/0032880	3/14/02	Poletto et al.	714	—	
9D	IK	5,454,074	9/26/95	Hartel et al.	715	—	
9D	IL	5,522,026	5/28/96	Records et al.	715	—	
9D	IM	6,271,840	8/7/01	Finseth et al.	715	—	
9D	IN	6,517,587	2/11/03	Satyavolu et al.	715	—	
9D	IO	6,535,227	3/18/03	Fox et al.	715	—	
9D	IP	4,819,234	4/4/89	Huber	717	—	
9D	IQ	5,590,331	12/31/96	Lewis et al.	717	—	
9D	IR	5,774,727	6/30/98	Walsh et al.	717	—	
9D	IS	5,872,978	2/16/99	Hoskins	717	—	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
						YES NO.

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	Gregory Davis	DATE CONSIDERED	11/2/05
----------	---------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

05456.105044

SERIAL NO.

09/746,453

FILING DATE

December 21, 2000

APPLICANT

Rene Seeber

GROUP

~~2625~~ 2627

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
920	IT	5,950,012	9/7/99	Shiell et al.	717	—	
920	IU	6,118,940	9/12/00	Alexander, III et al.	717	—	
920	IV	6,405,364	6/11/02	Bowman-Amuah	717	—	
920	IW	6,606,744	8/12/03	Mikurak	717	—	
920	IX	6,397,242	5/28/02	Devine et al.	718	—	
920	IY	2002/0032717	3/14/02	Malan et al.	718	—	
920	IZ	5,477,585	11/5/02	Cohen et al.	719	—	
920	JA	6,721,806	4/13/04	Boyd et al.	719	—	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
--	--	-----------------	------	---------	------	------------------------

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

*Gregory Davis*

DATE CONSIDERED

10/25/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105044	SERIAL NO. 09/746,453	FILING DATE December 21, 2000
APPLICANT Rene Seeber		GROUP 2625-2627
		BOX 1 / 4

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	
920	JB	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.		
920	JC	WO 02/006928	01/24/02	PCT	vCIS, Inc.		
920	JD	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.		
920	JE	WO 02/056152	07/18/02	PCT	Psionic Software		
920	JF	0 985 995	08/13/03	EP	International Business Machines Corp.		
920	JG	WG 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, inc.		
920	JH	WO 00/02115	01/13/00	PCT	PRC Inc.		
920	JI	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson		
920	JJ	WO 99/50734	10/07/99	PCT	AT&T Corporation		
920	JK	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.		
920	JL	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.		
920	JM	0 636 977	05/23/01	EP	Chambers		
920	JN	WO 99/15966	04/01/99	PCT	Symantec Corporation		

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

920	JO	Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2
920	JP	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
920	JQ	International Search Report for PCT/US02/17161 of December 31, 2002
920	JR	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm. Computer Security Applications Conference, 1998, pp. 59-68

EXAMINER Gregory Davis	DATE CONSIDERED 10/25/05
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105044	09/746,453	December 21, 2000
APPLICANT	GROUP	
Rene Seeber	2625 2627	

BOX 1 / 4

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

JS	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206
JT	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
JU	Mansouri-Samari et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
JV	International Search Report for PCT/US01/13769 of March 8, 2002
JW	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66
JX	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27
JY	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
JZ	International Search Report for PCT/US02/04989 of September 19, 2002
KA	International Search Report for PCT/US02/02917 of August 8, 2002
KB	International Search Report for PCT/US03/00155 of May 15, 2003
KC	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12
KD	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112
KE	Wobber et al., Authentication in the Taos Operating System, ACM Transactions on Computer Systems, Vol. 12, No. 1, February 1994, pp. 3-32

EXAMINER	DATE CONSIDERED
10/25/05	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2625 2627			
<b>BOX 1 / 4</b>							
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
920	KF	Mayer et al., The Design of the Trusted Workstation: A True Infosec Product, 13 <sup>th</sup> National Computer Security Conference, Washing, DC, October 1-4, 1990, pp. 827-839					
920	KG	Dawson, Intrusion Protection for Networks, Byte. April 1995, pp. 171-172					
920	KH	Buhkan, Checkpoint Charlie, PC Week Network, November 27, 1995, pp. N1, N6-N7					
920	KI	Process Software Technical Support Page, found on <a href="http://www.process.com/techsupport/whitesec.html">http://www.process.com/techsupport/whitesec.html</a> , printed off of the Process Software website on February 26, 2003, pp. 1-5					
920	KJ	Ganesan, BAfirewall: A Modern Firewall Design, Proceedings Internet Society Symposium on Network and Distributed System Security 1994, Internet Soc., 1994, pp. 99-108					
920	KK	Lee, Trusted Systems, Chapter II-1-6 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 345-362					
920	KL	Lunt, Automated Intrusion Detection, Chapter II-4-4 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 551-563					
920	KM	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610					
920	KN	Garg et al., High Level Communication Primitives for Concurrent Systems, IEEE, 1988, pp. 92-99					
920	KO	Hastings et al., TCP/IP Spoofing Fundamentals, IEEE, May 1996, pp. 218-224					
920	KP	Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40					
920	KQ	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solutions, IEEE, July 1997, pp. 40-48					
EXAMINER				DATE CONSIDERED			
Gregory D. Gene				10/25/05			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105044		09/746,453		December 21, 2000	
APPLICANT				GROUP	
Rene Seeber				2625 2627	
<b>BOX 1 / 4</b>					
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>					
920	KR			Djahandari et al., An MBone for an Application Gateway Firewall, IEEE, November 1997, pp. 72-81	
920	KS			Kim et al., Implementing a Secure Login Environment: A Case Study of Using a Secure Network Layer Protocol, Department of Computer Science, University of Alabama, June 1995, pp. 1-9	
920	KT			Satyanarayanan, Integrating Security in a Large Distributed System, Acm Transaction on Computer Systems, Vol. 7, No. 3, August 1989, pp. 47-280	
920	KU			Sammons, Nathaniel, "Multi-platform Interrogation and Reporting with Rscan," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 75-87	
920	KV			Dean et al., "Java Security: From HotJava to Netscape and Beyond," Proceedings of the 1996 IEEE Symposium on Security and Privacy, May 6-8, 1996, Oakland, California, pp. 190-200.	
920	KW			Fisch et al., "The Design of an Audit Trail Analysis Tool," Proceedings of the 10 <sup>th</sup> Annual Computer Security Applications Conference, December 5-9, 1994, Orlando, Florida, pp. 126-132.	
920	KX			Safford et al., "The TAMU Security Package: An Ongoing Response to Internet Intruders in an Academic Environment," USENIX Symposium Proceedings, UNIX Security IV, October 4-6, 1993, Santa Clara, California, pp. 91-118.	
920	KY			Sugawara, Toshiharu, "A Cooperative LAN Diagnostic and Observation Expert System," Ninth Annual Phoenix Conference on Computers and Communications, 1990 Conference Proceedings, March 21-23, 1990, Scottsdale, Arizona, pp. 667-674.	
920	KZ			Casella, Karen A., "Security Administration in an Open Networking Environment," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 67-73.	
920	LA			Burchell, Jonathan, "Vi-SPY: Universal NTM?" Virus Bulletin, January 1995, pp. 20-22.	
920	LB			Benzel et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture," 18 <sup>th</sup> National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 83-99.	
EXAMINER				DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				10/25/06	

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2625 26d 7			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
92	LC	Epstein et al., "Component Architectures for Trusted Network," 18 <sup>th</sup> National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 455-463.					
92	LD	Varadharajan, Vijay. "Design and Management of a Secure Networked Administration System: A Practical Approach," 19 <sup>th</sup> National Information Systems Security Conference, October 22-25, 1996, Baltimore, Maryland, pp. 579-580.					
92	LE	Snapp et al., "DIDS (Distributed Intrusion Detection System) - Motivation, Architecture, and An Early Prototype," 14 <sup>th</sup> National Computer Security Conference, October 1-4, 1991, Washington, DC, pp. 167-176.					
92	LF	Broner et al., "Intelligent I/O Rule-Based Input/Output Processing for Operating Systems," Operating Systems Review, Volume 25, Number 3, July 1991, pp. 10-26.					
92	LG	Drews et al., "Special Delivery - Automatic Software Distribution Can Make You A Hero," Network Computing, August 1, 1994, pp. 80, 82-86, 89, 91-95.					
92	LH	Morrissey, Peter, "Walls," Network Computing, February 15, 1996, pp. 55-59, 65-67.					
92	LI	Harlander, Dr. Magnus, "Central System Administration in a Heterogenous Unix Environment: GeNUAdmin," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 1-8.					
92	LJ	Shaddock et al., "How to Upgrade 1500 Workstations on Saturday, and Still Have Time to Mow the Yard on Sunday," The Ninth Systems Administration Conference LISA '95, September 17-22, 1995, Monterrey, California, pp. 59-65.					
92	LK	Anderson, Paul, "Towards a High-Level Machine Configuration System," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 19-26.					
92	LL	Cooper, Michael A., "Overhauling Rdist for the '90s," Proceedings of the Sixth Systems Administration Conference (LISA VI), October 19-23, 1992, Long Beach, California, pp. 175-188.					
EXAMINER				DATE CONSIDERED			
Denny Dene				10/25/05			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2625 2627 <b>BOX 1 / 4</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
92	LM	Vangala et al., "Software Distribution and Management in a Networked Environment," Proceedings of the Sixth Systems Administration Conference, October 19-23, 1992, Long Beach, California, pp. 163-170.					
92	LN	Kim et al., "The Design and Implementation of Tripwire: A File System Integrity Checker," 2 <sup>nd</sup> ACM Conference on Computer and Communications Security, November 2-4, 1994, Fairfax, Virginia, pp. 18-29.					
92	LO	Winn Schwartau, "e.Security™-Solving 'Dumb Days' With Security Visualization," e-Security, Inc., Naples, FL 34103, 2000.					
92	LP	Anita D'Amico, Ph.D., "Assessment of Open e-Security Platform™: Vendor-Independent Central Management of Computer Security Resources," Applied Visions, Inc., 1999.					
92	LQ	"e.Security™-Open Enterprise Security Management: Delivering an integrated, automated, centrally Managed Solution You Can Leverage Today and Tomorrow," e-Security, Inc., Naples, FL 34102, 1999.					
92	LR	"e.Security™-Vision," e-Security, Inc., Naples, FL, 1999.					
92	LS	"e.Security™-Administrator Workbench™," e-Security, Inc. Naples, FL, 1999.					
92	LT	"e.Security™-Fact Sheet," e-Security, Inc., Naples, FL, 1999.					
92	LU	"e.Security™-Open e-Security Platform™," e-Security, Inc. Naples, FL, 1999.					
92	LV	Babcock, "E-Security Tackles The Enterprise," July 28, 1999; <u>Inter@ctive Week</u> , www.Zdnet.com.					
92	LW	Kay Blough, "In Search of More-Secure Extranets," November 1, 1999, www.InformationWeek.com					
92	LX	Paul H. Desmond, "Making Sense of Your Security Tools," Software Magazine and Wiesner Publishing, <u>www.softwaremag.com</u> , 1999.					
92	LY	Kay Blough, "Extra Steps Can Protect Extranets," November 1, 1999, www. InformationWeek.com					
EXAMINER <i>Negmy Jones</i>				DATE CONSIDERED <i>10/25/05</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2525 2627 BOX 1 / 4			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
92D	LZ			Sean Hao, "Software protects e-commerce - e-Security's product alerts networks when hackers attack," Florida Today, Florida.			
92D	MA			Scott Weiss, "Security Strategies - E-Security, Inc.," product brief, Hurwitz Group, Inc., March 24, 2000.			
92D	MB			Sean Adeo, CISA, "Managed Risk, Enhanced Response - The Positive Impact of Real-Time Security Awareness," Information Systems Control Journal, Vol. 2, 2000			
92D	MC			"Reprint Review - The Information Security Portal - Open e-Security Platform Version 1.0" February 2000, West Coast Publishing, SC Magazine, 1999			
92D	MD			"e-Security - "Introducing the First Integrated, Automated, and Centralized Enterprise Security Management System," white paper, e-Security, Inc., Naples, FL 34102, 1999			
92D	ME			Ann Harrison, "Computerworld - Integrated Security Helps Zap Bugs," Feb. 21, 2000, Computerworld, Vol. 34, No. 8, Framingham, MA.			
92D	MF			Shruti Daté, "Justice Department Will Centrally Monitor Its Systems For Intrusions," April 3, 2000, Post-Newsweek Business Information, Inc., www.gcn.com.			
92D	MG			e-Security™, website pages (pp 1-83), www.esecurityinc.com, e-Security, Inc., Naples, FL 34103, September 14, 2000.			
92D	MH			Peter Sommer, "Intrusion Detection Systems as Evidence," Computer Security Research Centre, United Kingdom.			
92D	MI			Musman et al., System or Security Managers Adaptive Response Tool, DARPA Information Survivability Conference and Exposition, January 25, 2000, pp. 56-68			
92D	MJ			Gibson Research Corporation Web Pages, Shields Up! - Internet Connection Security Analysis, grc.com/default.htm, Laguna Hills, California, 2000			
EXAMINER				DATE CONSIDERED			
Gregory Denise				10/25/05			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>26252617</b>

**BOX 1 / 4****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

92	MK	Rouse et al., Design and Evaluation of an Onboard Computer-Based Information System for Aircraft, IEEE Transactions of Systems, Man, and Cybernetics, Vol. SMC-12, No. 4, July/August 1982, pp. 451-463
92	ML	Flammer, An Intelligent Flight-Management Aid for Procedure Execution, IEEE Transactions on Systems, Man, and Cybernetics, Vol. SMC-14, No. 6, November/December 1984, pp. 885-888
92	MM	Mann et al., Analysis of User Procedural Compliance in Controlling a Simulated Process, IEEE Transactions on Systems, Man, and Cybernetics, Vol. SMC-16, No. 4, July/August 1986
92	MN	Todd, Signed and Delivered: An Introduction to Security and Authentication, Find Out How the Java Security API Can Help you Secure your Code, Javaworld, Web Publishing, Inc., San Francisco, December 1, 1998, pp. 1-5
92	MO	Arvind, Secure This, Inform, Association for Information and Image Management, Silver Spring, September /October 1999, pp. 1-4
92	MP	Stevens, TCP/IP Illustrated, Vol. 1, 1994, pp. 247
92	MQ	Lee et al., A Generic Virus Detection Agent on the Internet, IEEE, 30 <sup>th</sup> Annual Hawaii International Conference on System Sciences, 1997, Vol. 4
92	MR	Cutler, Inside Windows NT, 1993, Microsoft Press
92	MS	Duncan, Advanced MS-Dos, 1986, Microsoft Press
92	MT	McDaniel, IBM Dictionary of Computing, 1994, International Business Machines Corporation
92	MU	Burd, Systems Architecture, 1998, Course Technology, Second Edition
92	MV	Programmer's Guide PowerJ, 1997, Sybase

EXAMINER <i>Gregory J. Seeber</i>	DATE CONSIDERED <i>10/25/05</i>
-----------------------------------	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seebler				2625 2627 <b>BOX 1 / 4</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
92D	MW	Swimmer et al., Dynamic detection and classification of computer viruses using general behavior patterns, 1995, Proceedings of the Fifth International Virus Bulletin Conference, Boston					
92D	MX	Advanced Virus Detection Technology for the Next Millennium, August 1999, Network Associates, A Network Associates Executive White Paper, pp. 1-14					
92D	MY	Enterprise-Grade Anti-Virus Automation in the 21 <sup>st</sup> Century, June 2000, Symantec, Technology Brief, pp. 1-17					
92D	MZ	Kephart et al., Blueprint for a Computer Immune System, 1997, Retrieved from Internet, URL: <a href="http://www.research.ibm.com/antivirus/scipapers/kephart/VB97">http://www.research.ibm.com/antivirus/scipapers/kephart/VB97</a> , pp. 1-15					
92D	NA	Richardson, Enterprise Antivirus Software, February 2000, Retrieved from Internet, URL: <a href="http://www.networkmagazine.com/article/nmg20000426S0006">http://www.networkmagazine.com/article/nmg20000426S0006</a> , pp. 1-6					
92D	NB	Understanding and Managing Polymorphic Viruses, 1996, Symantec, The Symantec Enterprise Papers, Vol. XXX, pp. 1-13					
92D	NC	Gong, JavaTM Security Architecture (JDK1.2), October 2, 1998, Sun Microsystems, Inc., Version 1.0, pp. i-iv, 1-62					
92D	ND	Softworks Limited VBVM Whitepaper, November 3, 1998, Retrieved from the Internet, URL: <a href="http://web.archive.org/web/19981203105455/http://softworksltd.com/vbvm.html">http://web.archive.org/web/19981203105455/http://softworksltd.com/vbvm.html</a> , pp. 1-4					
92D	NE	Kephart, A Biologically Inspired Immune System for Computers, 1994, Artificial Life IV, pp. 130-139					
92D	NF	International Search Report for PCT/US01/26804 of March 21, 2002					
92D	NG	Kosoresow et al., Intrusion Detection via System Call Traces, IEEE Software, pp. 35-42, September/October 1997					
92D	NH	Veldman, Heuristic Anti-Virus Technology, Proceedings, 3 <sup>rd</sup> International Virus Bulletin Conference, pp. 67-76, September 1993					
EXAMINER				DATE CONSIDERED			
Gregory Davis				10/25/05			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105044		09/746,453		December 21, 2000	
APPLICANT				GROUP	
Rene Seeber				2625-2627	
<b>BOX 1 / 4</b>					
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS
<b>FOREIGN PATENT DOCUMENTS</b>					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>					
92	NI	Symantec, Understanding Heuristics: Symantec's Bloodhound Technology, Symantec White Paper Series, Vol. XXXIV, pp. 1-14, September 1997			
92	NJ	Nachenberg, A New Technique for Detecting Polymorphic Computer Viruses, A thesis submitted in partial satisfaction of the requirements for the degree Master of Science in Computer Science, University of California Los Angeles, pp. 1-127, 1995			
92	NK	Microsoft P-Code Technology, <a href="http://msdn.microsoft.com/archive/default.asp?url=/archive/en-us/lnarvc/html/msn_c7pcode2.asp">http://msdn.microsoft.com/archive/default.asp?url=/archive/en-us/lnarvc/html/msn_c7pcode2.asp</a> , pp. 1-6, April 1997			
92	NL	DJGPP COFF Spec, <a href="http://delorie.com/djgpp/doc/coff/">http://delorie.com/djgpp/doc/coff/</a> , pp. 1-15, October 1996			
92	NM	Natvig, Sandbox Technology Inside AV Scanners, Virus Bulletin Conference, September 2001, pp. 475-488			
92	NN	Norman introduces a new technique for eliminating new computer viruses, found on Norman's website, <a href="file:///c:/documents%20and%20settings/7489/local%20settings/temporary%20internet%20files/olk">file:///c:/documents%20and%20settings/7489/local%20settings/temporary%20internet%20files/olk</a> , pp. 1-2, published October 25, 2001, printed from website December 27, 2002			
92	NO	International Search Report for PCT/US01/19142 of January 17, 2003			
92	NP	Using the CamNet BBS FAQ, <a href="http://www.cam.net.uk/manuals/bbsfaq/bbsfaq.htm">http://www.cam.net.uk/manuals/bbsfaq/bbsfaq.htm</a> , January 17, 1997.			
92	NQ	Express Storehouse Ordering System, "Accessing ESOS through the Network", <a href="http://www-bfs.ucsd.edu/mss/esos/man3.htm">http://www-bfs.ucsd.edu/mss/esos/man3.htm</a> , September 3, 1996.			
92	NR	NASIRE, NASIRC Bulletin #94-10, <a href="http://cs-www.ncsl.nist.gov/secalert/nasa/nasa9410.txt">http://cs-www.ncsl.nist.gov/secalert/nasa/nasa9410.txt</a> , March 29, 1994.			
92	NS	Packages in the net directory, <a href="http://linux4u.jinr.ru/usoft/WWW/www_debian.org/FTP/net.html">http://linux4u.jinr.ru/usoft/WWW/www_debian.org/FTP/net.html</a> , March 20, 1997.			
92	NT	Sundaram, An Introduction to Intrusion Detection, Copyright 1996, published at <a href="http://www.acm.org/crossroads/xrds2-4/intrus.html">www.acm.org/crossroads/xrds2-4/intrus.html</a> , pp. 1-12			
EXAMINER				DATE CONSIDERED	
92				10/25/05	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

*(Use several sheets if necessary)*

3817581\_1.DOC

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>3625-2625</b>

**BOX 1 / 4****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO
91D	NX	WO 99/13427	3/18/99	PCT	MCI Worldcom, Inc.		
92D	NY	WO 99/57626	11/11/99	PCT	Acquis Technology, Inc.		
92D	NZ	WO 00/10278	2/24/00	PCT	Cryptek Secure Communications, LLC		
92D	OA	WO 00/25214	5/4/00	PCT	Securecoft, Inc.		
92D	OB	WO 00/25527	5/4/00	PCT	Telefonaktiebolaget LM Ericsson		
92D	OC	WO 00/34867	6/15/00	PCT	Network Ice Corporation		
92D	OD	WO 02/101516	12/19/02	PCT	Intruvert Networks, Inc.		

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER <i>Gregory D. Lane</i>	DATE CONSIDERED <i>10/25/05</i>
------------------------------------	------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625</b>

**BOX 2 / 4****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

92	OF	Nordin, U of MN OIT Security and Assurance, February 9, 2000
92	OF	Internet Security Systems, RealSecure SiteProtector, SAFEsuite Decisions to SiteProtector Migration, August 8, 2003, Pages 1-42
92	OG	Internet Security Systems, SAFEsuite Enterprise, SAFEsuite Decisions, 1998
92	OH	Internet Security Systems, SAFEsuite Enterprise, Recognizing the Need for Enterprise Security: An Introduction to SAFEsuite Decisions, August 1998, Pages 1-9
92	OI	Internet Security Systems, SAFEsuite Decisions 2.6, Frequently Asked Questions, February 21, 2001, Pages 1-10
92	OJ	Internet Security Systems, SAFEsuite Decisions Version 1.0, User's Guide, 1998, Pages 1-78
92	OK	Porras et al., Emerald: Event Monitoring Enabling Responses to Anomalous Live Disturbances, Computer Science Laboratory, SRI International, Menlo Park, CA, October 1997, Pages 353-365
92	OL	Cisco Systems, Empowering the Internet Generation, 1998
92	OM	Messmer, Start-Up Puts Hackers on BlackICE, Network World Fusion, <a href="http://www.nwfusion.com/cgi-bin/mailto/x/cgi">http://www.nwfusion.com/cgi-bin/mailto/x/cgi</a> , April 21, 1999, Page 1-2
92	ON	NetworkICE Corporation, Can You Explain How Your Product Can Protect a Remote User with a VPN Client?, 1998-1999, Pages 1-2, <a href="http://www.webarchive.org/web/20000304071415/advice.networkice.com/advice/support/kb/q000003/default">http://www.webarchive.org/web/20000304071415/advice.networkice.com/advice/support/kb/q000003/default</a>
92	OO	Yasin, Start-Up Puts Network Intruders on Ice, <a href="http://www.internetweek.com/story/INW19990505S0001">http://www.internetweek.com/story/INW19990505S0001</a> , May 5, 1999, Pages 1-2

EXAMINER <i>Danny D...</i>	DATE CONSIDERED <i>10/25/05</i>
-------------------------------	------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105044		09/746,453		December 21, 2000	
APPLICANT				GROUP	
Rene Seeber				2625-2627	
<b>BOX 2 / 4</b>					
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>					
92	OP	Morency, NetworkWorldFusion, <a href="http://nwfusion.com/cgi-bin/mailto/x.cgi">http://nwfusion.com/cgi-bin/mailto/x.cgi</a> , June 28, 1999, Pages 1-2			
92	OQ	Rogers, Network ICE Touts Security Wares, April 23, 1999, San Mateo, California, <a href="http://www.cnn.com/showArticle.jhtml?articleID=18829106&amp;flatPage=true">http://www.cnn.com/showArticle.jhtml?articleID=18829106&amp;flatPage=true</a> , Pages 1-2			
92	OR	Rogers, Network ICE Signs Resellers, May 26, 1999, San Mateo, California, <a href="http://www.cnn.com/showArticle.jhtml?articleID=18805302&amp;flatPage=true">http://www.cnn.com/showArticle.jhtml?articleID=18805302&amp;flatPage=true</a> , Pages 1-2			
92	OS	Internet Security Systems, I've Been Attacked! Now What?, August 27, 1999, <a href="http://www.iss.net/security_center/advice/Support/KB/q000033/default.htm">http://www.iss.net/security_center/advice/Support/KB/q000033/default.htm</a> , Pages 1-2			
92	OT	Internet Security Systems, What is the Format of "Attack-List.CSV"?, August 21, 1999, <a href="http://www.iss.net/security_center/advice/Support/KB/q000018/default.htm">http://www.iss.net/security_center/advice/Support/KB/q000018/default.htm</a> , Pages 1-2			
92	OJ	Neumann et al., Experience with Emerald to Date, April 11-12, 1999, 1 <sup>st</sup> USENIX Workshop on Intrusion Detection and Network Monitoring, Santa Clara, California, Pages 1-9			
92	OV	Lindqvist et al., Detecting Computer and Network Misuse Through the Production-Based Expert System Toolset (P-BEST), May 9-12, 1999, Proceedings of the 1999 IEEE Symposium on Security and Privacy, Oakland, California, Pages 1-16			
92	OW	Kendall, A Database of Computer Attacks for the Evaluation of Intrusion Detection Systems, June 1999, Department of Defense Advanced Research Projects Agency, Pages 1-124			
92	OX	Neumann, Computer Security and the U.S. Infrastructure, November 6, 1997, Congressional Testimony, Pages 1-11			
92	OY	Porras et al., Life Traffic Analysis of TCP/IP Gateways, November 10, 1997, Internet Society's Networks and Distributed Systems Security Systems Symposium, March 1998, <a href="http://www.sdl.sri.com/projects/emerald/live-traffic.html">http://www.sdl.sri.com/projects/emerald/live-traffic.html</a> , Pages 1-16			
EXAMINER <u>Rene Seeber</u> DATE CONSIDERED <u>10/25/05</u>					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seebler</b>		GROUP <b>2625-2627</b>

**BOX 2 / 4****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

920	OZ	Raynaud et al., Integrated Network Management IV, 1995, Proceedings of the 4 <sup>th</sup> International Symposium on Integrated Network Management, Pages 1-2 and 5-16
920	PA	Heberlein et al., A Method to Detect Intrusive Activity in a Networked Environment, October 1-4, 1991, 14 <sup>th</sup> National Computer Security Conference, Washington, D.C., Pages 362-363 and 365-371
920	PB	Ko et al., Execution Monitoring of Security-Critical Programs in Distributed Systems: A Specification-Based Approach, 1997, Proceedings of the 1997 IEEE Symposium on Security and Privacy, Pages 175-187
920	PC	Crosbie et al., Active Defense of a Computer System Using Autonomous Agents, Technical Report No. 95-008, February 15, 1995, Purdue University, West Lafayette, Indiana, Pages 1-14
920	PD	Mansouri-Samani et al., Monitoring Distributed Systems, November 1993, IEEE Network, Pages 20-30
920	PE	Jakobson et al., Alarm Correlation, November 1993, IEEE Network, Pages 52-59
920	PF	Anderson et al., Next-Generation Intrusion Detection Expert (NIDES), A Summary, May 1995, SRI International, Pages 1-37
920	PG	Veritas Software, Press Release, Robust Enhancements in Version 6.0 Maintain Seagate WI as the De Facto Standard for Software Distribution, October 6, 1997, Press Releases, Pages 1-4, <a href="http://216.239.39.104/search?q=cache:HS9kmK1m2QoJ:www.veritas.com/us/aboutus/pressroom/199...">http://216.239.39.104/search?q=cache:HS9kmK1m2QoJ:www.veritas.com/us/aboutus/pressroom/199...</a>
920	PH	Yasin, Network-Based IDS are About to Stop Crying Wolf, Security Mandate: Silence False Alarms, April 9, 1999, <a href="http://lists.jamned.com/ISN/1999/04/0021.html">http://lists.jamned.com/ISN/1999/04/0021.html</a> , Pages 1-3
920	PI	Internet Security Systems, Press Release, ISS Reports Record Revenues and Net Income for Second Quarter, July 19, 1999, <a href="http://bvlive01.iss.net/issEn/delivery/prdetail.jsp?type=Financial&amp;oid=14515">http://bvlive01.iss.net/issEn/delivery/prdetail.jsp?type=Financial&amp;oid=14515</a> , Pages 1-5
920	PJ	LaPadula, State of the Art in CyberSecurity Monitoring, A Supplement, September 2001, Mitre Corporation, Pages 1-15

EXAMINER <i>Gregory D. Davis</i>	DATE CONSIDERED <i>10/25/05</i>
-------------------------------------	------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2025-2627 <b>BOX 2 / 4</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
920	PK	Balasubramaniyan et al., An Architecture for Intrusion Detection Using Autonomous Agents, June 11, 1998, Purdue University, West Lafayette, Indiana, Pages 1-4, <a href="http://gunther.smeal.psu.edu/images/b9/f3/bb/9e/ba7f39c3871dcedeb9abd0f70cb84607/1.png">http://gunther.smeal.psu.edu/images/b9/f3/bb/9e/ba7f39c3871dcedeb9abd0f70cb84607/1.png</a>					
920	PL	Crosbie et al., Active Defense of a Computer System Using Autonomous Agents, February 15, 1995, Technical Report No. 95-008, Purdue University, West Lafayette, Indiana, Pages 1-14					
920	PM	Crosbie et al., Defending a Computer System Using Autonomous Agents, March 11, 1994, Technical Report No. 95-022, Purdue University, West Lafayette, Indiana, Pages 1-11					
920	PN	Denning, An Intrusion-Detection Model, February 1987, IEEE Transactions on Software Engineering, Vol. SE-13, No. 2, Pages 1-17					
920	PO	Lunt, A Survey of Intrusion Detection Techniques, 1993, Computers & Security, 12 (1993), Pages 405-418					
920	PP	Porras et al., Penetration State Transition Analysis A Rule-Based Intrusion Detection Approach, 1992, Pages 220-229					
920	PQ	Javitz et al., The NIDES Statistical Component: Description and Justification, SRI International, Menlo Park, California, SRI Project 3131, March 7, 1994					
920	PR	Lindqvist et al., Detecting Computer and Network Misuses Through the Production-Based Expert System Toolset (P-BEST), October 25, 1998, Pages 1-20					
920	PS	Javitz et al., The SRI IDES Statistical Anomaly Detector, SRI International, Menlo Park, California, May 1991, IEEE Symposium on Security and Privacy, Pages 1-11					
920	PT	Porras et al., Live Traffic Analysis of TCP/IP Gateways, November 10, 1997, SRI International, Menlo Park, California, Pages 1-16					
EXAMINER <u>Gregory Desno</u> DATE CONSIDERED <u>10/25/05</u>							
EXAMINER: Initial is reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO.

FILING DATE

05456.105044

09/746,453

December 21, 2000

APPLICANT

GROUP

Rene Seeber

~~2625~~ 2627

BOX 2 / 4

**U.S. PATENT DOCUMENTS**EXAMINER  
INITIAL

DOCUMENT NUMBER

DATE

NAME

CLASS

SUBCLASS

FILING DATE  
IF APPROPRIATE**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER

DATE

COUNTRY

NAME

TRANSLATION

YES NO

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

92	PU	Porras et al., Live Traffic Analysis of TCP/IP Gateways, December 12, 1997, SRI International, Menlo Park, California, Proceedings of the 1998 ISOC Symposium on Network and Distributed Systems Security, Pages 1-13
92	PV	Information & Computing Sciences: System Design Laboratory: Programs: Intrusion Detection, SRI International, <a href="http://www.sdl.sri.com/programs/intrusion/">http://www.sdl.sri.com/programs/intrusion/</a> , June 17, 2004, Pages 1-2
92	PW	Andqvist et al., eXpert-BSM: A Host-based Intrusion Detection Solution for Sun Solaris, SRI International, Menlo Park, California, December 10-14, 2001, Proceedings of the 17 <sup>th</sup> Annual Computer Security Applications Conference, Pages 1-12
92	PX	Almgren et al., Application-Integrated Data Collection for Security Monitoring, October 10-12, 2001, SRI International, Menlo Park, California, Pages 1-15
92	PY	Debar et al., Research Report: A Revised Taxonomy for Intrusion-Detection Systems, October 25, 1999, IBM Research, Switzerland, Pages 1-23
92	PZ	Porras et al., Emerald: Event Monitoring Enabling Responses to Anomalous Live Disturbances, Computer Science Laboratory, SRI International, Menlo Park, CA, December 18, 1996, Pages 1-3.
92	QA	Frequently-Asked Questions about RealSecure, Pages 1-14, <a href="http://web.archive.org/web/19970721183227/iss.net/prod/rs_faq.html">http://web.archive.org/web/19970721183227/iss.net/prod/rs_faq.html</a> , May 30, 1997
92	QB	Cisco Systems, Inc., Empowering the Internet Generation, 1998.
92	QC	Internet Security Systems, Inc., RealSecure Release 1.2 for UNIX, A User Guide and Reference Manual, 1997.
92	QD	Internet Security Systems, Inc., Real-time attack recognition and response: A solution for tightening network security, January 1997, Pages 1-13.
92	QE	Internet Security Systems, Inc., SAFEsuite Decisions User Guide, Version 1.0, 1998, Pages 1-78

EXAMINER

DATE CONSIDERED

10/25/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105044	09/746,453	December 21, 2000
APPLICANT		GROUP
Rene Seeber		2625 207

BOX 2 / 4

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
					YES NO.
OTHER REFERENCES (U.S. Patents)					

**OTHER REFERENCES** (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

**EXAMINER**

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105044	09/746,453	December 21, 2000
APPLICANT	GROUP	
Rene Seeber	2625-2627	
BOX 2 / 4		

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
				YES NO.

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

QD	QQ	Tavitz et al., The SRI IDES Statistical Anomaly Detector, May 1991, Pages 1-11.
QD	QP	SRI International, The NIDES Statistical Component Description and Justification, March 7, 1994, Pages 1-46.
QD	QQ	Karen, Oliver, PC Magazine, The Digital Doorman, November 16, 1999, Page 68.
QD	QR	Liepins et al., Anomaly Detection: Purpose and Framework, 1989, Pages 495-504.
QD	QS	Lindqvist et al., Detecting Computer and Network Misuse Through the Production-Based Expert System Toolset (P-BEST), October 25, 1998, Pages 1-20.
QD	QT	Lunt, Teresa, A survey of intrusion detection techniques, 1993, Pages 405-418.
QD	QU	Lunt, Teresa, Automated Audit Trail Analysis and Intrusion Detection: A Survey, October 1988, Pages 1-2.
QD	QV	Porras et al., Penetration State Transition Analysis A Rule-Based Intrusion Detection Approach, 1992, Pages 220-229.
QD	QW	Sebring et al., Expert Systems in Intrusion Detection: A Case Study, October 1988, Pages 74-81.
QD	QX	Shieh et al., A Pattern-Oriented Intrusion-Detection Model and Its Applications, 1991, Pages 327-342.
QD	QY	Smaha, Stephen, Haystack: An Intrusion Detection System, 1988.
QD	QZ	Snapp, Steven Ray, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, 1991, Pages 1-40.
QD	RA	Porras et al., EMERALD: Event Monitoring Enabling Responses to Anomalous Live Disturbances, October 1997, Pages 353-365.
EXAMINER <i>Nagory, Denise</i> DATE CONSIDERED <i>10/25/05</i>		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b>
		<b>BOX 2 / 4</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO
-----------------	------	---------	------	-----------------------

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

920	RB		Lunt et al., Knowledge-Based Intrusion Detection, March 1989, Pages 102-107.
920	RC		Lunt et al., An Expert System to Classify and Sanitize Text, December 1987, Pages 1-5.
920	RD		Tener, William, Computer Security in the Age of Information: AI & 4GL: Automated Detection and Investigation Tools, 1989, Pages 23-29.
920	RE		Teng et al., Adaptive Real-time Anomaly Detection Using Inductively Generated Sequential Patterns, 1990, Pages 278-284.
920	RF		Vaccaro et al., Detection of Anomalous Computer Session Activity, 1989, Pages 280-289.
920	RG		Winkler, J.R., A UNIX Prototype for Intrusion and Anomaly Detection in Secure Networks, 1990, Pages 115-124.
920	RH		Boyen et al. Tractable Inference for Complex Stochastic Process, July 24-26, 1998.
920	RI		Copeland, Observing Network Traffic - Techniques to Sort Out the Good, the Bad, and the Ugly, 2000, Pages 1-7.
920	RJ		Goan, Terrance, Communications of the ACM, A Cop on the Beat Collecting and Appraising Intrusion Evidence, July 1999, Pages 47-52.
920	RK		Heberlein et al., A network Security Monitor, 1990, Pages 296-304.
920	RL		Jackson et al., An Expert System Applications for Network Intrusion Detection, October 1991, Pages 1-8.
920	RM		Lankewicz et al., Real-Time Anomaly Detection Using a Nonparametric Pattern Recognition Approach, 1991, Pages 80-89.

EXAMINER <i>Danny Davis</i>	DATE CONSIDERED <i>10/25/05</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105044	09/746,453	December 21, 2000
APPLICANT	GROUP	
Rene Seeber	2025 2627	
		BOX 2 / 4

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

920	RN	Lippmann et al., Evaluating Intrusion Detection Systems: The 1998 DARPA Off-line Intrusion Detection Evaluation, 1999.
920	RO	Munson et al., Watcher: The Missing Piece of the Security Puzzle, December 2001.
920	RP	Pearl, Judea, Probabilistic Reasoning in Intelligent Systems: Networks of Plausible Inference, September 1988.
920	RQ	Porras et al., Live Traffic Analysis of TCP/IP Gateways, December 12, 1997, Pages 1-13.
920	RR	EMERALD TCP Statistical Analyzer 1998 Evaluation Results, <a href="http://www.sdl.sri.com/projects/emerald/98-eval-estar/index.html">http://www.sdl.sri.com/projects/emerald/98-eval-estar/index.html</a> , July 9, 1999, Pages 1-15.
920	RS	Staniford-Chen, GrIDS-A Graph Based Intrusion Detection System for Large Networks, October 1996.
920	RT	Tener, William, Discovery: An Expert System in the Commercial Data Security Environment, December 1986, Pages 45-53.
920	RU	Valdes et al., Adaptive, Model-Based Monitoring for Cyber Attack Detection, 2000, Pages 1-19.
920	RV	SRI International, Blue Sensors, Sensor Correlation, and Alert Fusion, October 4, 2000.
920	RW	Valdes et al., Statistical Methods for Computer Usage Anomaly Detection Using NIDES, January 27, 1995, Pages 306-311.
920	RX	Wimer, Scott, The Core of CylantSecure, <a href="http://www.cylant.com/products/core.html">http://www.cylant.com/products/core.html</a> , 1999, pages 1-4.
920	RY	Zhang et al., A Hierarchical Anomaly Network Intrusion Detection System using Neural Network Classification, February 2001.
920		
EXAMINER		DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		10/25/05

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2625 <b>BOX 2 / 4</b>			

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
92D	RZ			Cisco Secure Intrusion Detection System 2.1.1 Release Notes, <a href="http://www.cisco.com/univercd/cc/td/doc/product/iaabu/csids/csids3/nr211new.htm">http://www.cisco.com/univercd/cc/td/doc/product/iaabu/csids/csids3/nr211new.htm</a> , June 10, 2003, pages 1-29.		
92D	SA			Linux Weekly News, <a href="http://lwn.net/1998/0910shadow.html">http://lwn.net/1998/0910shadow.html</a> , September 8, 1998, pages 1-38.		
92D	SB			Cracker Tracking: Tighter Security with Intrusion Detection, <a href="http://www.bvte.com/art/9805/sec20/art1.htm">http://www.bvte.com/art/9805/sec20/art1.htm</a> , May 1998, pages 1-8.		
92D	SC			Cisco Systems, Inc., Network RS: Intrusion Detection and Scanning with Active Audit Session 1305, 1998.		
92D	SD			Business Security Advisor Magazine, Intrusion Detection Systems: What You Need to Know, <a href="http://advisor.com/doc/0527">http://advisor.com/doc/0527</a> , September 1998, pages 1-7.		
92D	SE			Garvey et al., An Inference Technique for Integrating Knowledge from Disparate Sources, Multisensor Integration and Fusion for Intelligent Machines and Systems, 1995, pages 458-464.		
92D	SF			Power et al., CSI Intrusion Detection System Resource, July 1998, pages 1-7.		
92D	SG			Cisco Systems, Inc., NetRanger User's Guide Version 2.1.1, 1998.		
92D	SH			Internet Security Systems, Real-Time Attack Recognition and Response: A Solution for Tightening Network Security, <a href="http://www.iss.net">http://www.iss.net</a> , 1997, Pages 1-13		
92D	SI			Network ICE Corporation, Network ICE Product Documentation, Pages 1-3, <a href="http://www.web.archive.org/web/20011005080013/www.networkice.com/support/documentation.html">http://www.web.archive.org/web/20011005080013/www.networkice.com/support/documentation.html</a> , July 6, 2004		
92D	SJ			Network ICE Corporation, Network ICE Documentation, Page 1, <a href="http://www.web.archive.org/web/19991109050852/www.networkice.com/support/docs.htm">http://www.web.archive.org/web/19991109050852/www.networkice.com/support/docs.htm</a> , July 6, 2004		
92D	SK			Network ICE Corporation, Network ICE Press Releases, Page 1, <a href="http://www.web.archive.org/web/19990903214428/www.netice.com/company/pressrelease/press.htm">http://www.web.archive.org/web/19990903214428/www.netice.com/company/pressrelease/press.htm</a> , July 7, 2004		
EXAMINER		DATE CONSIDERED				
92D		10/25/05				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

05456.105044

SERIAL NO.

09/746,453

FILING DATE

December 21, 2000

APPLICANT

Rene Seeber

GROUP

2625-2627

BOX 2 / 4

## U.S. PATENT DOCUMENTS

EXAMINER  
INITIAL

DOCUMENT NUMBER

DATE

NAME

CLASS

SUBCLASS

FILING DATE  
IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER

DATE

COUNTRY

NAME

TRANSLATION

YES NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

92	SL	Network ICE Corporation, Network ICE Press Releases, Page 1, <a href="http://www.web.archive.org/web/20000304074934/www.netice.com/company/pressrelease/press.htm">http://www.web.archive.org/web/20000304074934/www.netice.com/company/pressrelease/press.htm</a> , July 7, 2004
92	SM	Brentano et al., An Architecture for Distributed Intrusion Detection System, Department of Energy Computer Security Group, 14 <sup>th</sup> Annual Conference Proceedings, Pages (17)25-17(45), May 1991
92	SN	Stanford-Chen et al., GrIDS-A Graph Based Intrusion Detection System for Large Networks, University of California, Davis, California, 19 <sup>th</sup> National Information Systems Security Conference, 1996, Pages 1-10
92	SO	Ricciulli et al., Modeling Correlated Alarms in Network Management Systems, SRI International, Menlo Park, California, Proceedings of the Conference on Communication Networks and Distributed System Modeling and Simulation, 1997, Pages 1-8
92	SP	Porras et al., Emerald: Event Monitoring Enabling Responses to Anomalous Live Disturbances, SRI International, Menlo Park, California, 20 <sup>th</sup> National Information Systems Security Conference, October 1997, Pages 1-24
92	SQ	Porras et al., A Mission-Impact Based Approach to INFOSEC Alarm Correlation, SRI International, Menlo Park, California, October 2002, Pages 1-33
92	SR	Phrack 55 Download (234 kb, 1999-09-09), <a href="http://www.phrack.org/show.php?p=55&amp;a=9">http://www.phrack.org/show.php?p=55&amp;a=9</a> , Pages 1-6
92	SS	Porras et al., A Mission-Impact-Based Approach to INFOSEC Alarm Correlation, SRI International, Menlo Park, California, October 2002, Pages 1-19
92	ST	Bace, An Introduction to Intrusion Detection and Assessment for System and Network Security Management, 1999, Pages 1-38

EXAMINER

Gregory Desai

DATE CONSIDERED

10/25/09

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449, Adapted

# LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

05456.105044

SERIAL NO.

09/746,453

FILING DATE

December 21, 2000

APPLICANT

Rene Seeber

GROUP

2625-2627

BOX 2 / 4

## U.S. PATENT DOCUMENTS

EXAMINER  
INITIAL

DOCUMENT NUMBER

DATE

NAME

CLASS

SUBCLASS

FILING DATE  
IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER

DATE

COUNTRY

NAME

TRANSLATION

YES NO.

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

92D	SU		Huntman, Automated Information System - (AIS) Alarm System, University of California, Los Alamos National Laboratory, 20 <sup>th</sup> National Information System Security Conference, October 1997, Pages 1-12
92D	SV		Janakiraman et al., Indra: A Peer-to-Peer Approach to Network Intrusion Detection and Prevention, Proceedings of the 12 <sup>th</sup> International Workshop on Enabling Technologies: Infrastructure for Collaborative Enterprises, 2003, Pages 1-5
92D	SW		Innella, Intrusion Detection Systems (IDS), Navy Information Assurance, June 28, 2004, Pages 1-4, <a href="http://www.infosec.navy.mil/ps/?t=infosecprodsservices/infosecprodsservices.tag&amp;hc=/infosecprodsservices/b...">http://www.infosec.navy.mil/ps/?t=infosecprodsservices/infosecprodsservices.tag&amp;hc=/infosecprodsservices/b...</a>
92D	SX		Curry, Intrusion Detection Systems, IBM Emergency Response Service, Coast Laboratory, <a href="http://www.cerias.purdue.edu/about/history/coast_resources/idcontent/ids.html">http://www.cerias.purdue.edu/about/history/coast_resources/idcontent/ids.html</a> , July 19, 2004, Pages 1-28
92D	SY		Lunt et al., Knowledge-Based Intrusion Detection, SRI International, Menlo Park, California, Conference on AI Systems in Government, Washington, D.C., March 1989, Pages 102-107
92D	SZ		A. Information Assurance BAA 98-34 Cover Sheet, SRI International, November 1, 2000, Pages 2-35
92D	TA		NetScreen Products, FAQ, <a href="http://www.netscreen.com/products/faq.html">http://www.netscreen.com/products/faq.html</a> , February 28, 2003, Pages 1-6
92D	TB		Miller, A Network Under Attack: Leverage Your Existing Instrumentation to Recognize and Respond to Hacker Attacks, NetScout Systems, Westford, MA, April 2003, Pages 1-8
92D	TC		Technology Brief: Intrusion Detection for the Millennium, Internet Security Systems, 2000, Pages 1-6
92D	TD		Weiss et al., Analysis of Audit and Protocol Data using Methods from Artificial Intelligence, Siemens AG, Munich, Germany, Proc. of the 13 <sup>th</sup> National Computer Security Conference, Washington, D.C., October 1990, Pages 109-114
92D	TE		Snapp et al., DIDS (Distributed Intrusion Detection System) - Motivation, Architecture, and an Early Prototype, University of California, Davis California, , Proc. 14 <sup>th</sup> National Computer Security Conference, Washington, D.C., October 1991, Pages 167-176

EXAMINER

Gregory Davis

DATE CONSIDERED

10/25/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE	
05456.105044		09/746,453		December 21, 2000	
APPLICANT				GROUP	
Rene Seeber				2625 2627	
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>					
		DOCUMENT NUMBER	DATE	COUNTRY	NAME TRANSLATION YES NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>					
92D	TF	Internet Security Systems, Inc., SAFEsuite Enterprise Edition, Project "Gatekeeper" 1.0, Market Requirements Document, March 30, 1998, pp. 1-12			
92D	TG	Internet Security Systems, SAFEsuite Decisions, 2000, pp. 1-2			
92D	TH	Internet Security Systems, Dynamic Threat Protection, Presse-Roundtable, Munich, Germany, April 10, 2003, pp. 1-63			
92D	TI	Internet Security Systems, Preliminary ODM 1.0 Functional Specification, August 1997, pp. 1-7			
92D	TI	Internet Security Systems, Inc., Scanner-ISSDK Interface, Design Specification, June 2, 2000, Draft 1.07, pp. 1-7			
92D	TK	RealSecure, Adaptive Network Security Manager Module Programmer's Reference Manual, pp. 1-74			
92D	TL	Advanced Concept Technology Demonstrations (ACTD), 2005, pp. 1-28			
92D	TM	Frank, Sounding the Alarm, September 6, 1999, Federal Computer Week, pp. 1-2			
92D	TN	Crumb, Intrusion Detection Systems to be Integrated at AFRL, Air Force Research Laboratory, News@AFRL, Fall 1999, pp. 1			
92D	TO	Temin, Case Study: The JA: AIDE System at Two, 15 <sup>th</sup> Annual Computer Security Applications Conference, December 6-10, 1999, Phoenix, Arizona, pp. 1-26			
92D	TP	Spink, Automated Intrusion Detection Environment (AIDE), Intrusion Detection Sensor Fusion, September 7, 1999, pp. 1-25			
92D	TQ	Frincke et al., A Framework for Cooperative Intrusion Detection, 21 <sup>st</sup> National Information Systems Security Conference, October 6-9, 1998, Crystal City, Virginia, pp. 1-20			
EXAMINER <i>Gregory Dornel</i> DATE CONSIDERED <i>10/25/05</i>					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2625 2627			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
							FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
92D	TR			Anderson et al., In Athena's Camp: Preparing for Conflict in the Information Age, An Exploration of Cyberspace Security R&D Investment Strategies for DARPA: The Day After in Cyberspace II, Chapter Eleven, 1996, pp. 253-271			
92D	TS			Valdes et al., SRI International, Probabilistic Alert Correlation, 2001, pp. i-15			
92D	TT			Bass, Multisensor Data Fusion for Next Generation Distributed Intrusion Detection Systems, April 28, 1999, Iris National Symposium, pp. 1-6			
92D	TU			Perrochon et al., Enlisting Event Patterns for Cyber Battlefield Awareness, No Date, Stanford University, pp. 1-12			
92D	TV			Perrochon, Using Context-Based Correlation in Network Operations and Management, July 2, 1999, Stanford University, pp. 1-20			
92D	TW			Perrochon, Real Time Event Based Analysis of Complex Systems, June 1998, pp. 1-9			
92D	TX			Luckham et al., Complex Event Processing in Distributed Systems, August 18, 1988, Stanford University, pp. 1-26			
92D	TY			Pettigrew, US Southcom United States Southern Command's Information Sharing Projects, Summer 1999, IANewsletter, Vol. 3, No. 1, pp. 1-24			
92D	TZ			Levitt et al., CMAD IV, Computer Misuse & Anomaly Detection, Session Summaries, November 12-14, 1996, Proceedings of the Fourth Workshop on Future Directions in Computer Misuse and Anomaly Detection, Monterey, California, pp. 1-86			
92D	UA			Cheung et al., The Design of GrIDS: A Graph-Based Intrusion Detection System, January 26, 1999, University of California, pp. 1-51			
EXAMINER		DATE CONSIDERED					
Gregory D. Lane		10/25/05					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				26252627			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
920	UB	Cohen et al., Report of the Reporting and Analysis Track, Detection of Malicious Code, Intrusions, and Anomalous Activities Workshop, February 22-23, 1999, pp. 1-47					
920	UC	Garofalakis et al., Network Mining and Analysis: The Nemesis Project, Bell Labs, Lucent Technologies, No Date, pp. 1-12					
920	UD	RealSecure iCEcap Manager User Guide Version 3.6, Internet Security Systems, Inc., 1998-2002, pp. 1-228					
920	UE	Cuppens, Cooperative Intrusion Detection, Date Unknown, pp. 1-10					
920	UF	Mukherjee et al., Network Intrusion Detection, IEEE Network, May/June 1994, pp. 26-41					
920	UG	Machlis, Net Monitoring Tools Gain Real-Time Alerts, April 14, 1997, <a href="http://www.computerworld.com">http://www.computerworld.com</a> , pp. 1-12					
920	UH	OmniGuard/ITA Intruder Alert, AXENT Technologies, Inc., November 17, 2004, <a href="http://www.web.archive.org">http://www.web.archive.org</a> , pp. 1-10					
920	UI	NetRanger Overview, Chapter 1, Date Unknown, pp. 1-16					
920	UJ	Sutterfield, Large-Scale Network Intrusion Detection, 1997, WheelGroup Corporation, pp. 1-10					
920	UK	Kumar et al., An Application of Pattern Matching in Intrusion Detection, Technical Report No. CSD-TR-94-013, June 17, 1994, Purdue University, pp. 1-55					
920	UL	Huang et al., A Large-Scale Distributed Intrusion Detection Framework Based on Attack Strategy Analysis, Date Unknown, The Boeing Company, pp. 1-12					
920	UM	Perrochon et al., Event Mining with Event Processing Networks, Date Unknown, Stanford University, pp. 1-4					
EXAMINER <i>Gregory Davis</i> DATE CONSIDERED <i>10/25/05</i>							
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT***(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE			
05456.105044		09/746,453		December 21, 2000			
APPLICANT				GROUP			
Rene Seeber				2625 2627 <b>BOX 3 / 4</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
920	UN	Gruschke, Integrated Event Management: Event Correlation Using Dependency Graphs, presented at DSOM 1998, University of Munich, pp. 1-12					
920	UO	Bass, Intrusion Detection System and Multisensor Data Fusion, April 2000, Communications of the ACM, Vol. 43, No. 4, pp. 99-105					
920	UP	Bass et al., A Glimpse into the Future of ID, Date Unknown, Usenix, pp. 1-10					
920	UQ	LaPadula, State of the Art in Anomaly Detection and Reaction, July 1999, Mitre Corporation, pp. 1-36					
920	UR	Rationalizing Security Events with Three Dimensions of Correlation, Date Unknown, NetForensics, Tech Brief, pp. 1-6					
920	US	Jou et al., Design and Implementation of a Scalable Intrusion Detection System for the Protection of Network Infrastructure, Date Unknown, MCNC, pp. 1-15					
920	UT	Caldwell, Event Correlation: Security's Holy Grail?, August 1, 2002, GuardedNet, pp. 1-21					
920	UU	Metcalfe et al., Intrusion Detection System Requirements, September 2000, Mitre Corporation, pp. 1-33					
920	UV	Jou et al., Architecture Design of a Scalable Intrusion Detection System for the Emerging Network Infrastructure, Technical Report CDRL A005, April 1997, MCNC, pp. 1-42					
920	UW	Security Manager for UNIX Systems Version 3.2.1 User's Guide, February 1998, Internet Security Systems, pp. 1-162					
920	UX	RealSecure Release 1.2 for UNIX A User Guide and Reference Manual, 1997, Internet Security Systems, Inc., pp. 1-92					
EXAMINER <i>Gregory J. Davis</i>				DATE CONSIDERED <i>10/25/05</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.		SERIAL NO.		FILING DATE							
05456.105044		09/746,453		December 21, 2000							
APPLICANT				GROUP							
Rene Seeber				2625-2627							
<b>BOX 3 / 4</b>											
<b>U.S. PATENT DOCUMENTS</b>											
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS				
<b>FOREIGN PATENT DOCUMENTS</b>											
		DOCUMENT NUMBER	DATE	COUNTRY	NAME		TRANSLATION YES NO.				
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>											
920	UY	Internet Scanner SAFE SAFESuite 4.0 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-158									
920	UZ	Internet Scanner 3.3 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-119									
920	VA	Landwehr et al., Newsletter of the IEEE Computer Society's TC on Security and Privacy Electronics, Electronic CIPHER, November 25, 1997, Issue 25, pp. 1-34									
920	VB	20 <sup>th</sup> National Information Systems Security Conference, October 6-10, 1997, Baltimore, Maryland, pp. 1-44									
920	VC	EMERALD Alert Management Interface User's Guide Version 1.2, December 6, 2000, SRI International, pp. 1-11									
920	VD	Anderson et al., Detecting Unusual Program Behavior Using the Statistical Component of the Next-Generation Intrusion Detection Expert System (NIDES), May 1995, SRI International, pp. 1-89									
920	VE	Lunt et al., Detecting Intruders in Computer Systems, 1993 Conference on Auditing and Computer Technology, SRI International, pp. 1-17									
920	VF	Network ICE Products - ICEcap, Date Unknown, pp. 1-2									
920	VG	Forlanda, The Secrets to Driving on BlackICE, January 12, 2000, Network ICE, pp. 1-35									
920	VH	BlackICE User's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-59									
920	VI	ICEcap Administrator's Guide Version 1.0 Beta Draft, 1999, Network ICE Corporation, pp. 1-25									
920	VJ	Shulak et al., ICEcap Advanced Administration Guide Version 3.0, 2001, Internet Security Systems Corporation, pp. 1-51									
<table border="1"> <tr> <td>EXAMINER</td> <td><i>Vegor d'Amico</i></td> <td>DATE CONSIDERED</td> <td>10/25/05</td> </tr> </table>								EXAMINER	<i>Vegor d'Amico</i>	DATE CONSIDERED	10/25/05
EXAMINER	<i>Vegor d'Amico</i>	DATE CONSIDERED	10/25/05								
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT***(Use several sheets if necessary)*

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b>

**BOX 3 / 4****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO
-----------------	------	---------	------	-----------------------

**OTHER REFERENCES** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

92D	VK	"Real Secure, OS Sensor User Guide," Version 5.0, ©Internet Security Systems, Inc. 199?-2000; September 2000, pgs. 1-64.
92D	VL	"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.
92D	VM	"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.
92D	VN	"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.
92D	VO	"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38
92D	VP	"Real Secure™, Manager for HP OpenView User Guide," Version 1.3, © 1999 by Internet Security Systems, Inc., pgs. 1-48.
92D	VQ	"Database Scanner, User Guide," Version 2.0, © 1992-1999, Internet Security Systems, Inc., pgs. 1-112.
92D	VR	"Database Scanner™, User Guide," Version 4.0, © 2000 by Internet Security Systems, Inc., pgs. 1-122.
92D	VS	"Database Scanner™, User Guide," Version 3.0.1, © 1999 by Internet Security Systems, Inc., pgs. 1-164.
92D	VT	"Real Secure™, Network Sensor User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-42.
92D	VTJ	"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.
92D	VV	"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.
92D	VW	"Internet Scanner™, User Guide," Version 6.1, © 2000 by Internet Security Systems, Inc., pgs. 1-226.
92D	VX	"Internet Scanner™, User Guide," Version 5.6, © 1992-1998, Internet Security Systems, Inc., pgs. 1-162.

EXAMINER <i>Gregory Davis</i>	DATE CONSIDERED <i>10/25/05</i>
----------------------------------	------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b> <b>BOX 4/4</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO
-----------------	------	---------	------	-----------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

920	VY	"Internet Scanner™, User Guide," Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.
920	VZ	"Real Secure, Console User Guide," Version 5.5, © 1997-2000, Internet Security Systems, Inc., pgs. 1-162.
920	WA	"Internet Scanner™, User Guide," Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.
920	WB	"SAFEsuite Decisions, User Guide," Version 1.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-88.
920	WC	"Real Secure™, Console User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-114.
920	WD	"SAFEsuite DECISIONS, User Guide," Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.
920	WE	"System Scanner, User Guide," Version 1.7, © 1992-1998, Internet Security Systems, Inc., pgs. 1-248.
920	WF	"System Scanner, User Guide," Version 1.0, © 1996-1998, Internet Security Systems, Inc., pgs. 1-140.
920	WG	"System Scanner™, User Guide," Version 4.0, © 1999 by Internet Security Systems, Inc., pgs. 1-178.
920	WH	Internet Security Systems, Inc., "Introduction to RealSecure Version 5.0, The Industry's Only Integrated Host-Based and Network-Based Intrusion Detection System", August 22, 2000, pp. 1-47
920	WI	Internet Security Systems, Inc., "RealSecure Network Sensor and Gigabit Network Sensor Policy Guide Version 7.0", August 2003, pp. 1-86
920	WJ	Internet Security Systems, Inc., "RealSecure Console User Guide Version 3.1", June 1999, pp. 1-98
920	WK	Internet Security Systems, Inc., "RealSecure Version 2.0", April 1998, pp. 1-154
920	WL	Internet Security Systems, Inc., "Enhanced Dynamic Threat Protection via Automated Correlation and Analysis", an ISS White Paper, 2002, pp. 1-14

EXAMINER <i>Dugan, D. S.</i>	DATE CONSIDERED <i>10/25/05</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



FORM PTO-1449, Adapted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105044</b>	SERIAL NO. <b>09/746,453</b>	FILING DATE <b>December 21, 2000</b>
APPLICANT <b>Rene Seeber</b>		GROUP <b>2625 2627</b> <span style="float: right;"><b>BOX 4 / 4</b></span>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
---------------------	-----------------	------	------	-------	----------	-------------------------------

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
-----------------	------	---------	------	------------------------

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

920	WM	Internet Security Systems, Inc., "RealSecure Site Protector Comparison Guide for Internet Scanner 7.0 Reporting Version 1.2", March 2003, an ISS Tech Note, pp. 1-15
920	WN	Internet Security System, Inc., "RealSecure Site Protector Comparison Guide for ICEcap Manager Version 1.5", January 2002, an ISS Technical White Paper, pp. 1-27
920	WO	Internet Security Systems, Inc., "RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions", March 2003, pp. 1-8
920	WP	Internet Security Systems, Inc., "RealSecure SiteProtector Console User Reference Guide Version 2.0 Service Pack 1", March 14, 2003, Chapter 3, pp. 1-27
920	WQ	Internet Security Systems, Inc., "Proventia Gateway and Network Protection", July 2003, pp. 1-12
920	WR	Farley, Internet Security System, Inc., "ID Fusion Technology, A First-Generation Approach", April 29, 1999, National Security Framework Forum, pp. 1-8
920	WS	Farley, "RealSecure Fusion Engine Concepts", an ISS White Paper, June 30, 1999, pp. 1-23

EXAMINER <i>Gregory Desno</i>	DATE CONSIDERED <i>10/25/05</i>
-------------------------------	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.